

# **Three Principles to improve the strategy for Disaster Risk Management**

**- Taking two Mega-Disasters in 2011 for instance-**

3 April 2012

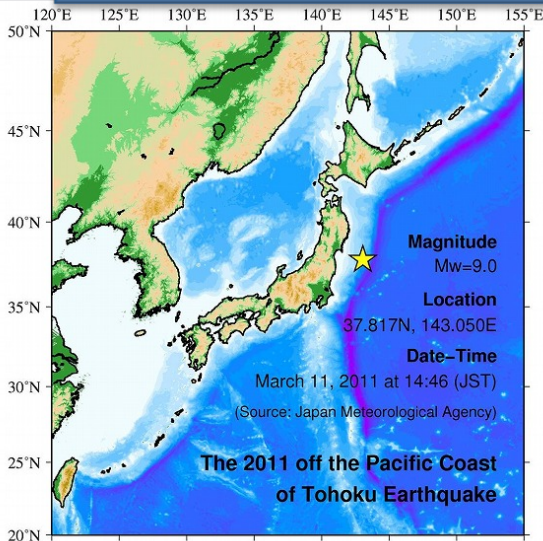
**Japan International Cooperation Agency (JICA)**

**Yusuke AMANO**

# Outline

- **Disasters strike somewhere in the world every year.**
- **In 2011, the Great East Japan Earthquake and the subsequent Tsunami hit Japan, while the heavy flood occurred in Thailand.**
- **“Trinciples (Tri + principles) Approach”, consists of**
  - (1) Risk Literacy,**
  - (2) Redundancy, and**
  - (3) Kaizen (Continuous Improvement),****are effective to fill the gaps on the foundation of an integrated risk reduction strategy.**

# The Great East Japan Earthquake



	Great East Japan Eq.	Hanshin-Awaji Eq.
Date (Magnitude)	2011.3.11 (Mw = 9.0)	1995.1.17 (Mw= 7.3)
Dead/Missing	15,854/3,143	6,434/3
Feature of damage	1) Tsunami damage 2) Damage to Nuclear power plant	1) Collapse of civil structures 2) Fire of wooden houses
Damage cost (bil. USD)	200-313	124

➤ **There was the strategy for disaster risk management, which partially worked, but not so much against the unexpected scale of disaster.**

# The heavy Flood in Thailand in 2011

## ➤ Statistics

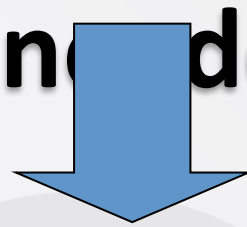
(Source DDPM Jan 23rd: Department of Disaster Prevention and Mitigation)

- Death 815
- Affected House Holds 4,086,138
- Affected People 13,595,192
- 65 Provinces, 684 Districts, 4,920 Sub-Districts, 43,636 Villages
- Area: 18,000 km<sup>2</sup> (Source: PDNA), 14,241 km<sup>2</sup> (Source: GISTDA)
- Damages: 20.5 billion USD (Source: PDNA)
- Losses: 26.0 billion USD (Source: PDNA)
- Affected Business (Source: Prime Minister Press Conference, Jan 20th):  
7 industrial estates, 28,679 enterprises, 993,944 workers

➤ The strategy for disaster risk management did not seem to exist.

# Disaster Risk Management (DRM)

**To minimize the damage by disasters, the strategy is definitely needed.**



- **How can the strategy be improved?**
- **What are the gaps?**

# Disaster Risk Management (DRM)

## - Scenario disaster and anticipated risk -

Capacity

**NOT Functioning  
As Planned**

**Functioning  
As Planned**

**Required level  
At  
Scenario Disaster**

**Disaster Education  
(Non-Structure)**

**Sea Wall  
(Structure)**

**Coastal Dyke  
(Structure)**

**Evacuation Drill  
(Non-Structure)**

**Disaster Education  
(Non-Structure)**

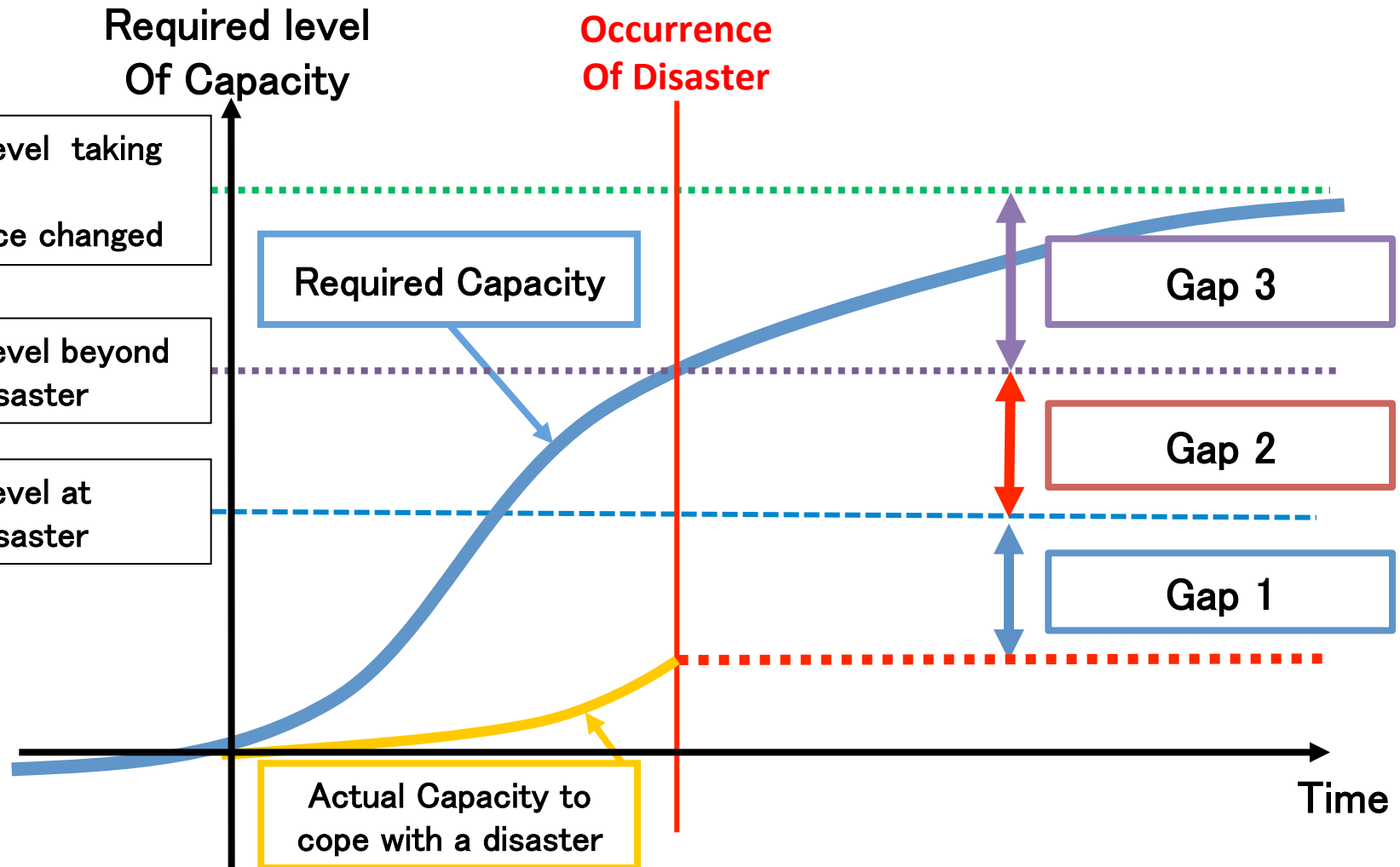
**Land Use Planning  
(Non-Structure)**

**Sea Wall  
(Structure)**

**Coastal Dyke  
(Structure)**

Three (3) types of Gaps emerged from Mega-Disasters' experiences

**Gap = "Required Level" and "Actual Capacity"**



# The Great East Japan Earthquake

## Giant Dykes TARO, Iwate Prefecture



(Photo: Asahi.com)

### Gap 3

Circum-  
stance  
Changed

- New development for residence and tourism after the completion.
- Rapid aging in the area

### Gap 2

Beyond  
Scenario

- Malfunction of dykes
- Limited evacuation route and Evacuation centers

### Gap 1

Scenario  
Disaster

- Lack of understanding in limits on functions of measures designed by scenario disaster

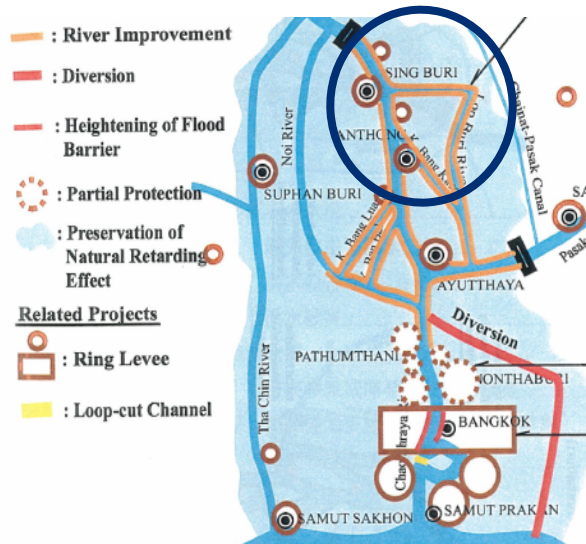


# The 2011 Thai Flood

Gap1  
scenario disaster

Gap2  
beyond scenario

Gap3  
Circumstance Changed



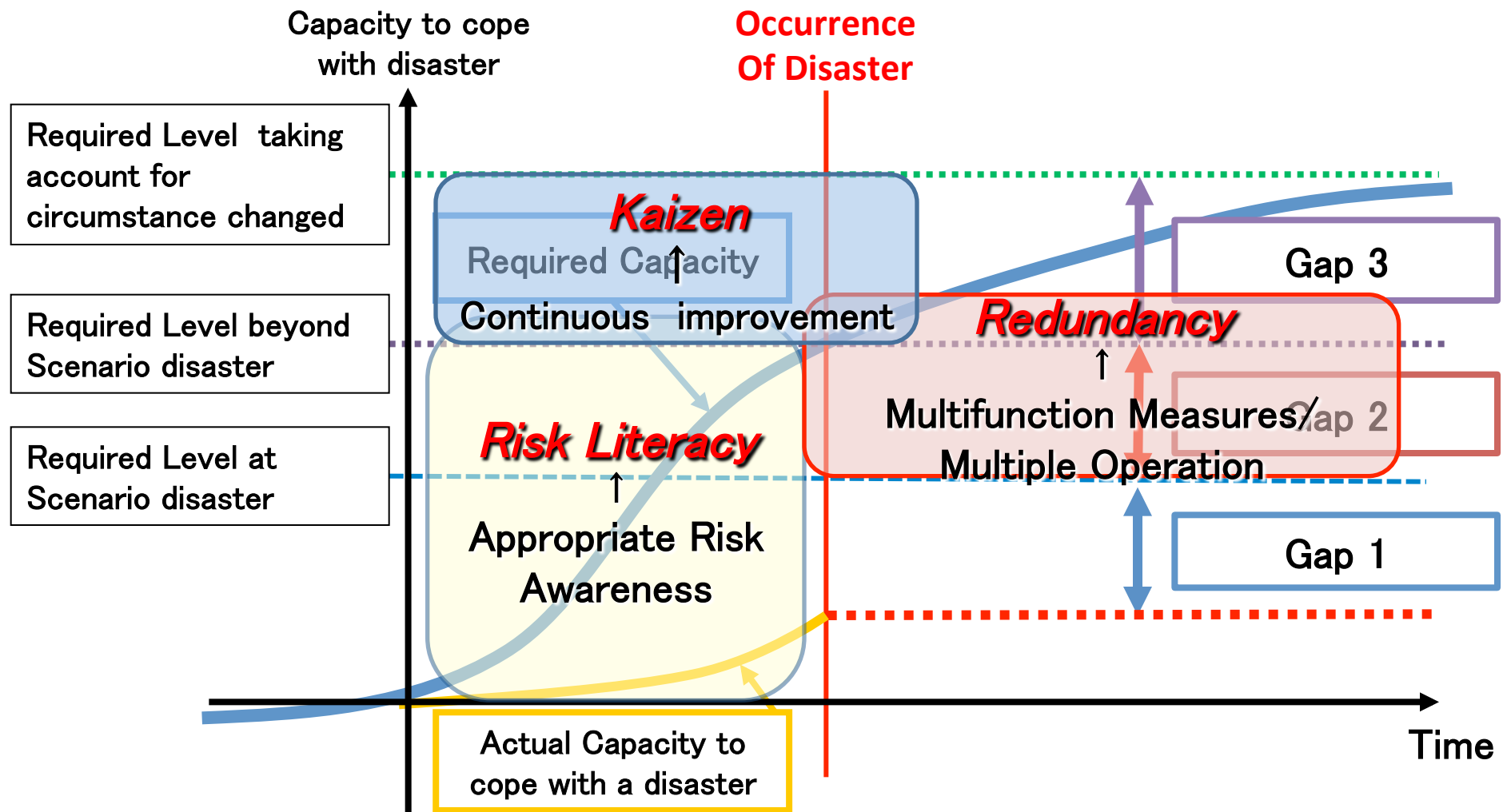
- Miscommunication of disaster potential
- Lack of information and early warning
- Insufficient investment in DRM

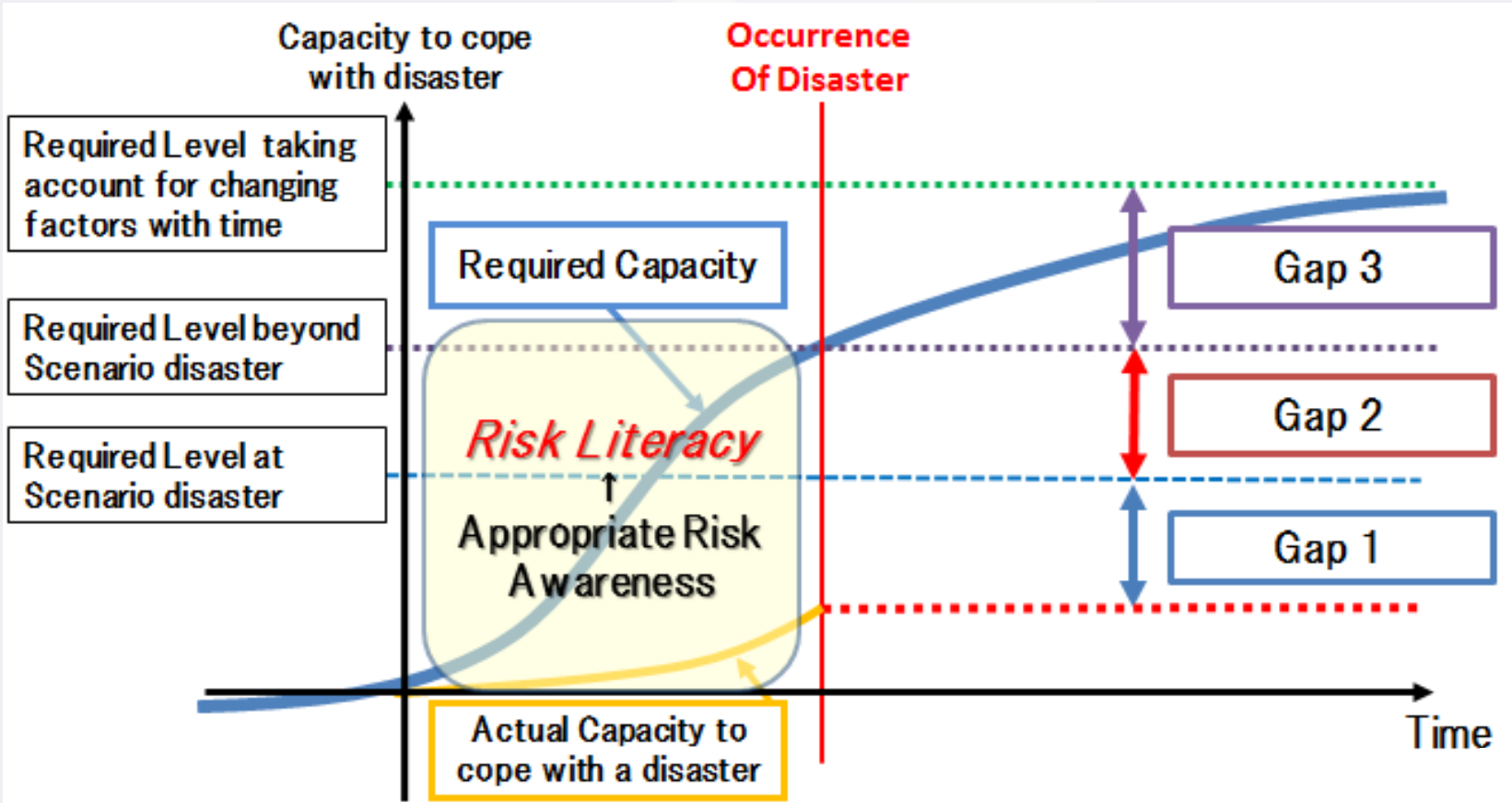
- Inundation beyond estimation (Hazard Map) due to Extraordinary Precipitation

- Expansion of urban area
- Lowed retention effect due to urbanization

# Three Gaps emerged from Mega-Disasters' experiences

**Gap = "Required Level" and "Actual Capacity"**

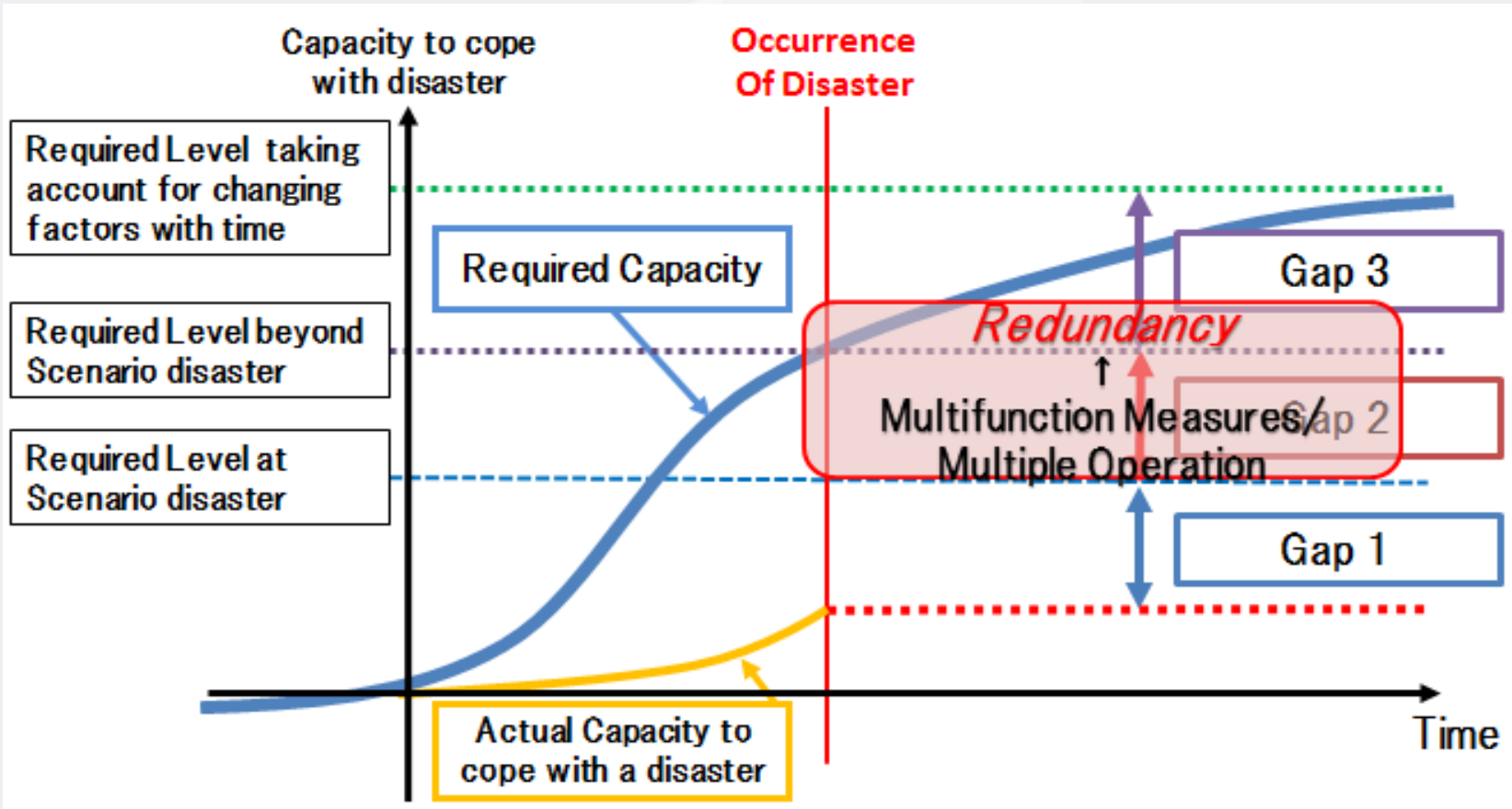




# Risk Literacy

## Exchange and/or sharing of risk information

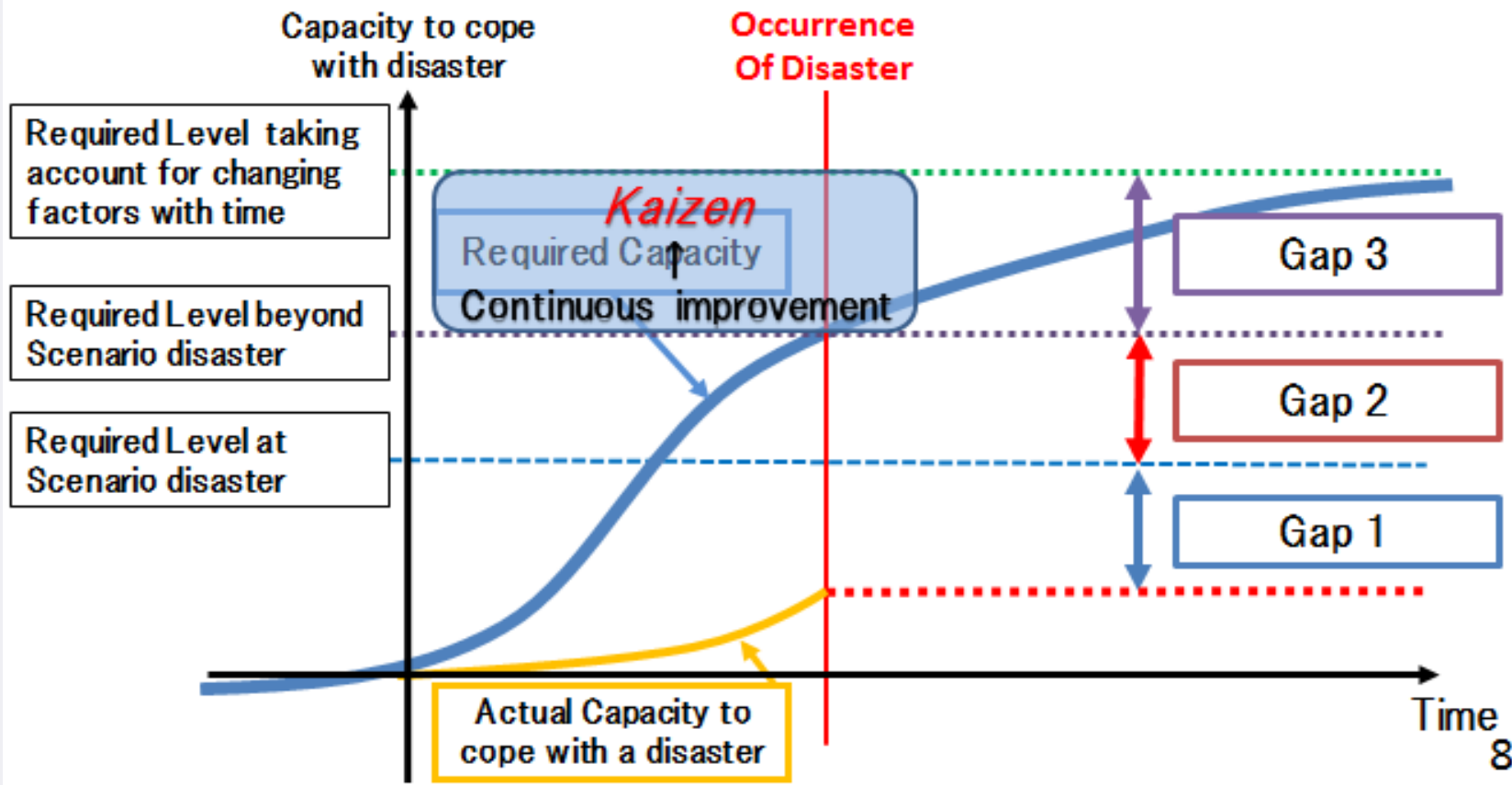
- (1) Limitations of structure and non-structure measures
- (2) Promotion of Communication among various stakeholders



# Redun- dancy

**Inclusion of extra components to original functions in preparation for uncertainty**

- (1) Redundant operations and measures
- (2) DRM into other sectors (Multi-sector)



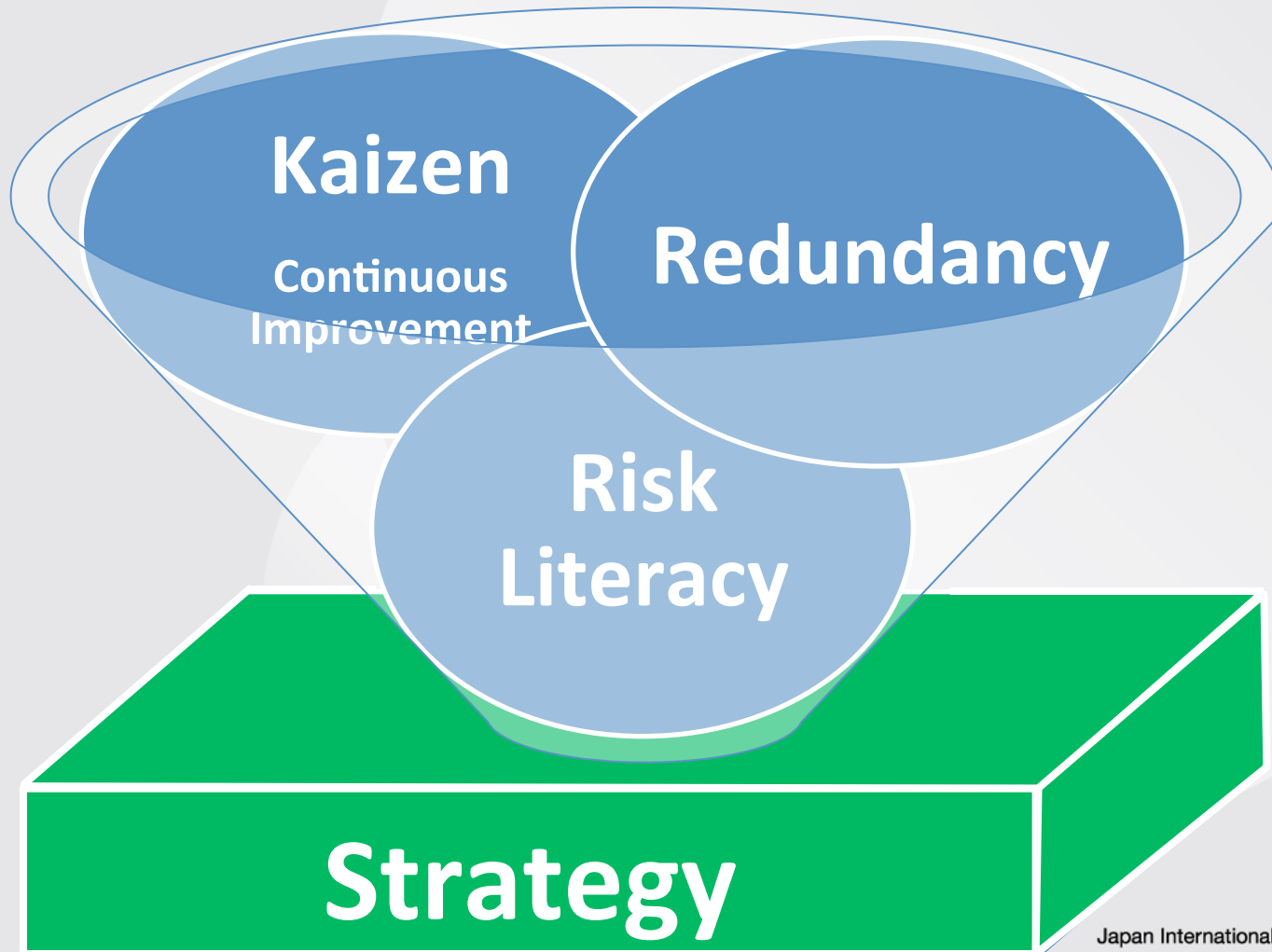
# Kaizen

(Continuous Improvement)

- ## Continuous improvement and review
- (1) Scientific aspects (The latest research results, advancement in technology, etc.)
  - (2) Social aspects (population growth, urbanization, aging society, etc.)

# JICA's Principles Approach

\* Principles : Coined Word created form "Tri (Triple) " and "Principles"



# Trinciples Approach for the Great East Japan Earthquake

## Giant Dykes TARO, Iwate Prefecture



(Photo: Asahi.com)

### Gap 3 Circum- stance Changed

- New development for residential and hotel area after the completion.
- Rapid aging in the area

→ Preparation of disaster management plan taking account for social change  
**(Kaizen)**

### Gap 2 Beyond Scenario

- Malfunction of the dyke
- Limited evacuation route and Evacuation centers

→ Increase of evacuation routes  
**(Redundancy)**

### Gap 1 Scenario Disaster

- Lack of understanding in limits on functions of measures designed by scenario disaster.

→ Advocacy planning such as limitations of various measures  
**(Risk Literacy)**

# Principles Approach for the 2011 Thai Flood

## Gap1 scenario disaster



### RISK LITERACY

- Discussion on validity of a scenario flood
- Clear indication of limitation of each measures

## Gap2 worst scenario



### REDUNDANCY

- Leveling of road connected with industrial estates
- Preparation of MP taking account for agriculture sector

## Gap3 Circumstance Changed



### KAIZEN

- Preparation of MP taking account for climate variation, population growth and urbanization etc.



# Toward the mainstreaming of DRM

Understanding of risks  
by all stakeholders

**Risk Literacy**

Multidisciplinary  
approach

**Redundancy**

**Sustainable  
Development**

**Mainstreaming Of DRM**

Circumstance changed

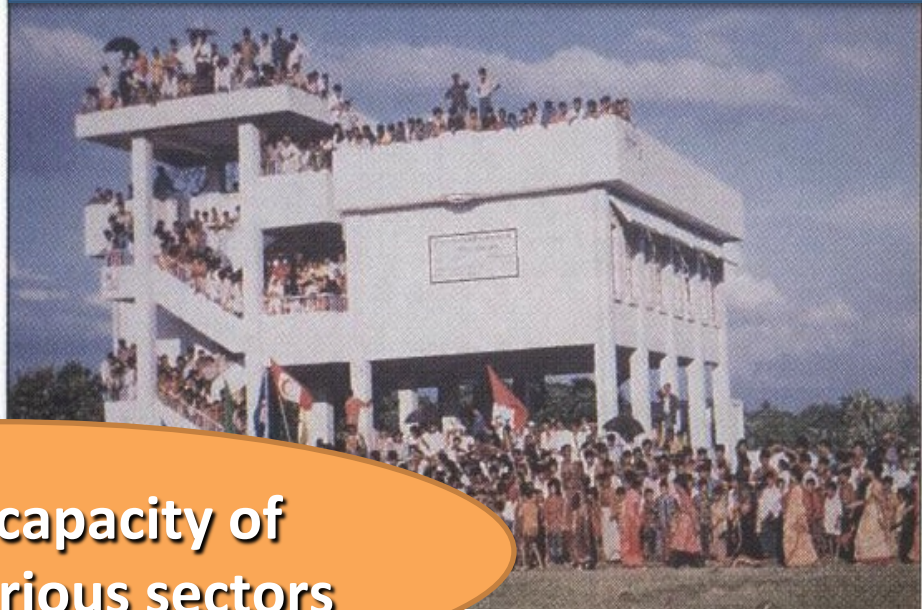
**KAIZEN**

Capacity of society  
To cope with disaster

## Leveling of subway entrance (Thailand)



## A School with function of shelter (Bangladesh)



### Enhancing capacity of DRM with various sectors



## Multipurpose agricultural facility (Kenya)

- (1) Ring dyke for Industrial estates
- (2) Preparation of Business continuity plan (BCP) (ex: Port, Medical facility, Educational Facility etc.)
- (3) Disaster education in official curriculum
- (4) Urban development + DRM
- (5) Community/gender development + DRM

## Others

# Application to JICA project



**Pupils discussing risks in and around their school**



**Youth of a community discussing risks and countermeasures**

## Risk Literacy

- Linkage between communities, municipalities and national agencies
- Risk mapping by communities

## Redundancy

- Involvement of school education and community development

## Continuous improvement (Kaizen)

- Capacity development for preparing and revising risk maps and disaster management plans

**STRATEGY**

**Central American Policy  
for Integral Disaster Management (PCGIR)**

# Input to International DRM Strategy

2012

International  
conferences  
on DRM

2013

4<sup>th</sup> Global  
Platform  
for Disaster  
Risk  
Reduction  
(UNISDR)

2014

2015

3<sup>rd</sup> World  
Conference  
on Disaster  
Reduction  
(UN/ISDR)

Discussion on new strategy on DRM and  
new framework after HFA (Hyogo  
Framework for Action 2005-2015)

Post-  
HFA



# Total amount of Damage

Unit: Billion US \$, 1USD=80JPY

	東日本大震災 Great East Japan Eq. (Cabinet Office)	Great East Japan Eq. (Cabinet Office)		Hanshin-Awaji Eq. (National Land Agency)
		ケース 1	ケース 2	
建築物等 (住宅・宅地、店舗・ 事務所・工場、機械等)  <b>Houses/Building</b>	約 10兆4千億円  <b>130</b>	約 11兆円 (被災率の想定) <b>140</b> : ... 2倍程度 非津波被災地域 : 阪神と同程度	約 20兆円 (被災率の想定) <b>250</b> ケース 1 に大きい 非津波被災地域 : 阪神と同程度	約 6兆3千億円  <b>79</b>
ライフライン施設  <b>Lifeline utilities</b>	約 1兆3千億円 <b>16</b>	約 1兆円 <b>13</b>	約 1兆円 <b>13</b>	約 6千億円 <b>7.5</b>
社会基盤施設  <b>Infrastructure</b>	約 2兆2千億円 <b>28</b>	約 2兆円 <b>25</b>	約 2兆円 <b>25</b>	約 2兆2千億円 <b>28</b>
その他 <b>Other</b>	Agri. 約 1兆9千億円 <b>24</b> Other 約 1兆1千億円 <b>14</b>	約 2兆円 <b>25</b>	約 2兆円 <b>25</b>	約 5千億円 <b>6.3</b>
<b>Total Amount</b>	約 16兆9千億円 <b>212</b>	約 16兆円 <b>203</b>	約 25兆円 <b>313</b>	約 9兆6千億円 <b>121</b>

注：ストックの区分は内閣府（防災担当）の推計で用いたものによるものであり、推計により若干異なる。



# Government Budget Bill for DRM (FY 2011)

Ministry / Agency	Research	Mitigation	National Land Conservation	Recovery	Total (Mil JPY)
Cabinet Office	7	3,801		609	4,417
National Police Agency		3,484			3,484
Min. of Internal Affairs and communications	495	30			525
Fire and Disaster Management Agency	439	10,439			10,878
Min. of Justice		14,021			14,021
Min. of Foreign Affairs		268			268
Min. of Finance		9,284		78,346	87,630
Min. of Education, Culture, Sport, Science and Technology	4,277	8,606		602	13,485
Agency for Cultural Affairs		89			89
Min. of Health, Labor and welfare		14,821		720	15,541
<b>Min. of Agriculture, Forestry and Fisheries</b>		<b>1,173</b>	<b>95,145</b>	<b>129,147</b>	<b>225,465</b>
Min. of Economy, Trade and Industry		3,388	2,483		5,871
<b>Min. of Land, Infrastructure and Tourism</b>	<b>1,657</b>	<b>44,210</b>	<b>577,208</b>	<b>44,958</b>	<b>668,033</b>
Japan Meteorological Agency	1,048	21,957			23,005
Japan Coast Guard	116	72,002			72,118
Min. of the Environment			14	200	214
<b>Min. of Defense</b>		<b>1,113</b>		<b>118</b>	<b>1,231</b>
	8,039	208,686	674,850	254,700	1,146,275

# Thank you very much for your kind attention.

**For further information, please visit our website.**

[http://www.jica.go.jp/english/operations/thematic\\_issues/water/earthquake/index.html](http://www.jica.go.jp/english/operations/thematic_issues/water/earthquake/index.html)

Just type

JICA english tsunami study



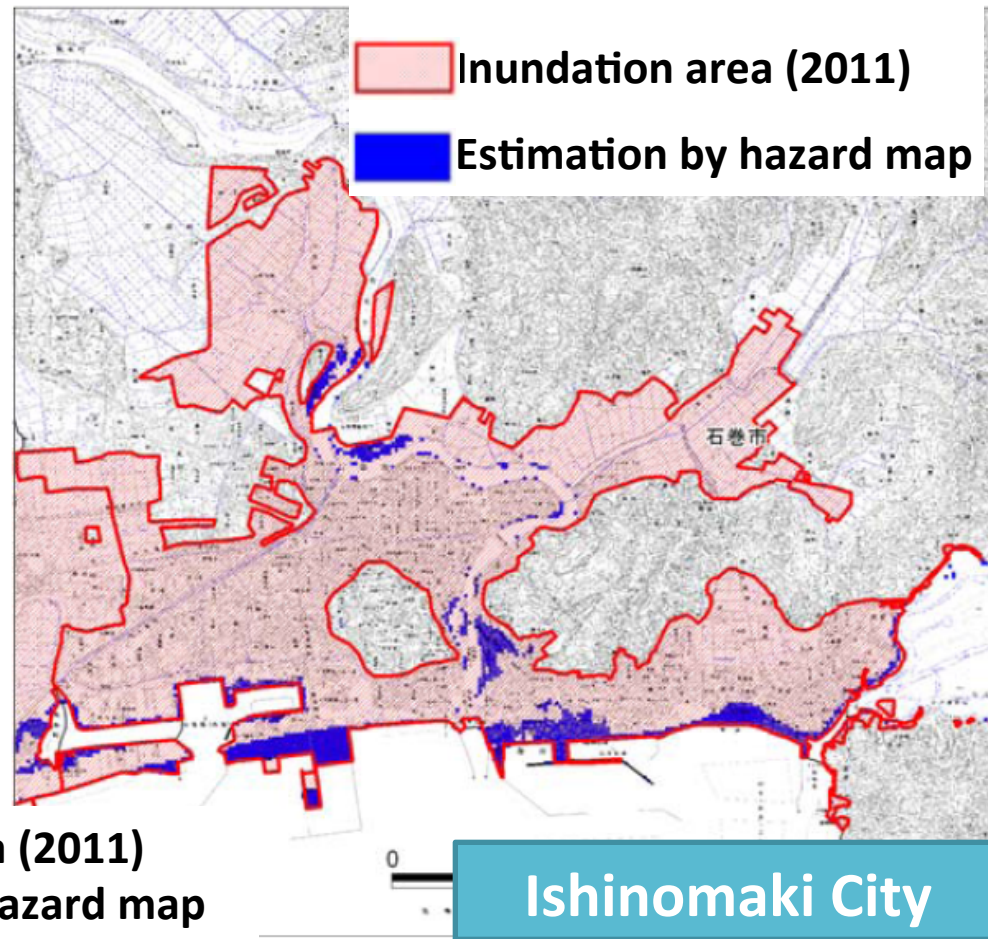
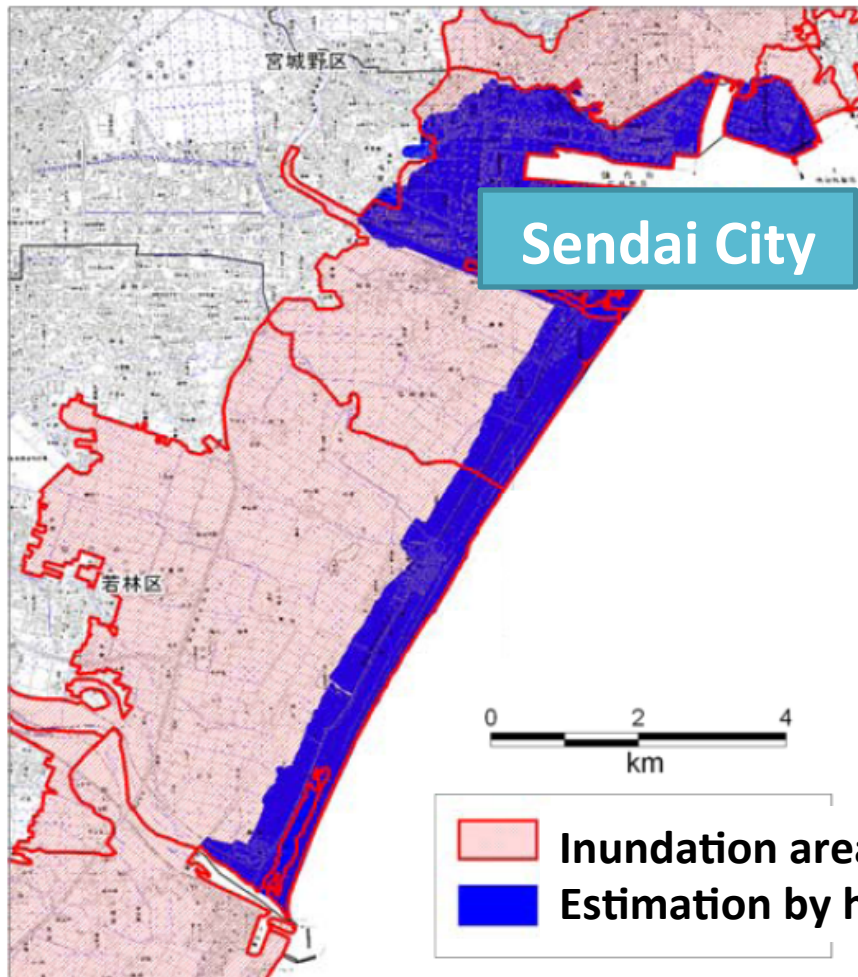
and search!

**Contact: Global Environment Department, JICA**  
**[jicage-water@jica.go.jp](mailto:jicage-water@jica.go.jp)**

(Gap 1)

“Required capacity to a scenario disaster” and “Actual capacity”

Disaster information needs to be carefully distributed

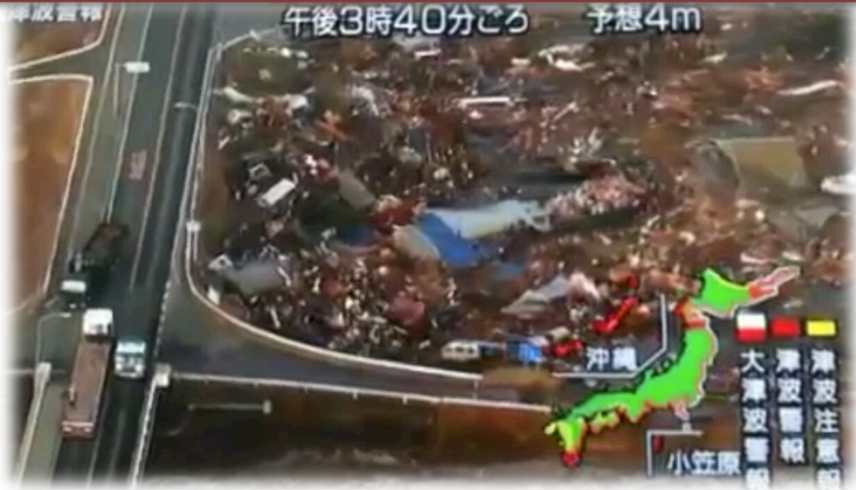


Source: Central Disaster Prevention Council

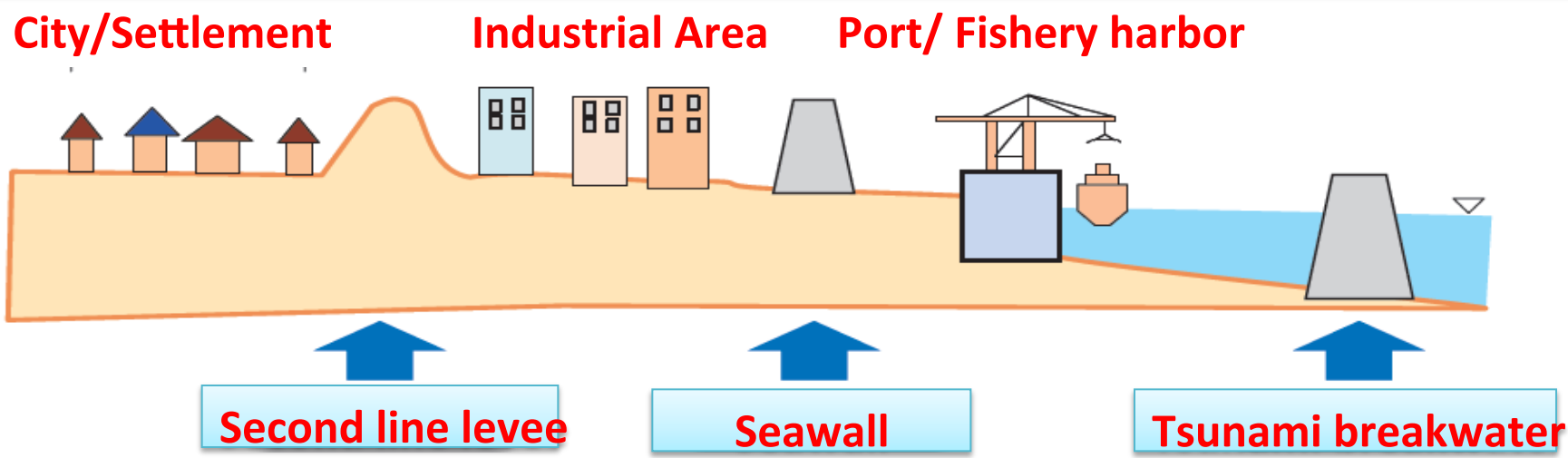


(Gap 2) “Required capacity to a scenario disaster” and “Required capacity to a worst scenario”

**Elevated highway blocked tsunami and reduced damage**



**Land use considering multilayered measures**



**(Gap 3) “Required capacity to a worst scenario” and “Required capacity to risk increasing over time”**

**Latest scientific knowledge need to be considered**

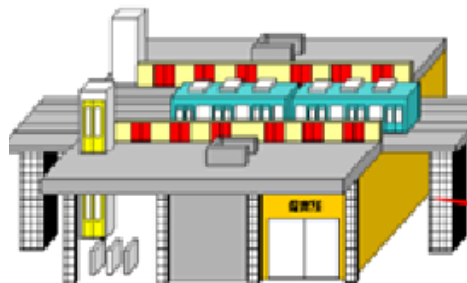
**Changes of society like aging need to be paid attention**



# Project on aseismic retrofit of rail stations

## (Purpose)

To do seismic retrofitting for major raised stations which are located in high-risk areas



- ✓ The central Government and local governments subsidize the expense of retrofitting.

### Subsidies coverage

Central Government : 1/3 of entire cost  
Local Government : 1/3 of entire cost



### Budgetary Situation

Fiscal year

2011

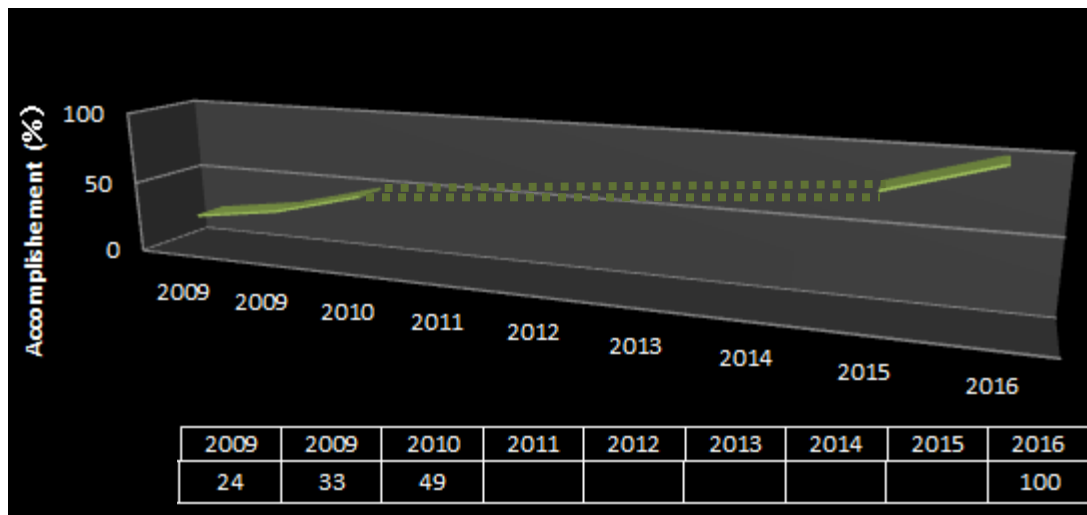
2012

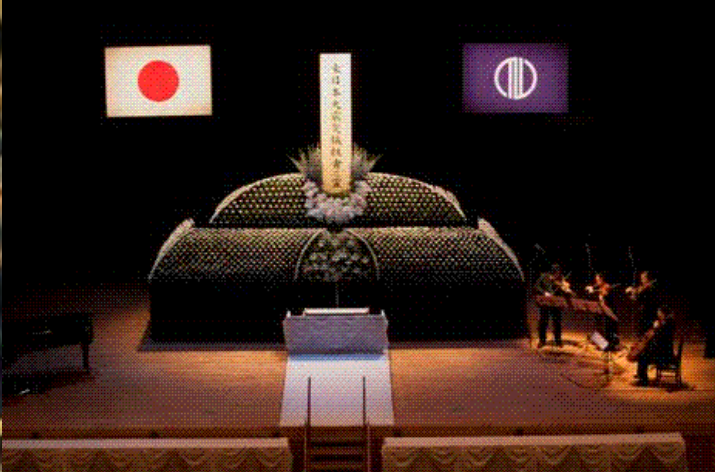
General Accounts

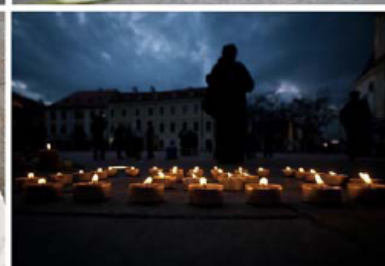
812

1610

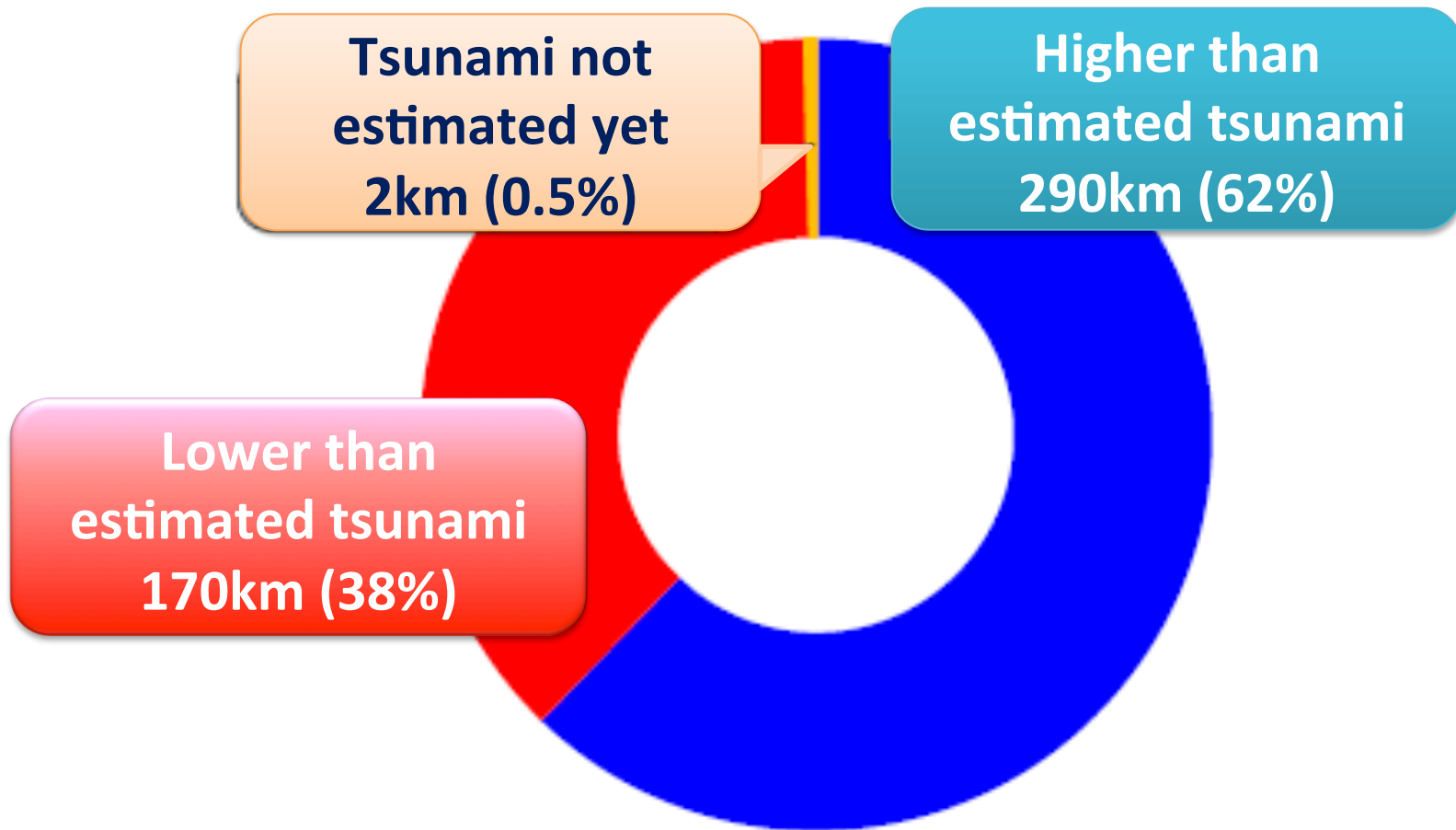
(UNIT: million JPY)







# Mismatch of standards for construction of coastal levee



**Coast of Tohoku Region 460km**

# Application to JICA project



Emergency road network

Demonstration by community volunteers



## KAIZEN

- Revise emergency road network
- Revise master plan for community-based disaster management

## Risk Communication

- Disaster management museum
- Large-scale practical evacuation drill

## Redundancy

- Redundancy plan of emergency road network
- Backup communication line

## STRATEGY

Comprehensive Master Plan on Urban Seismic Disaster Prevention and Management for Tehran

# Application to JICA project



A pupil preparing emergency goods such as drinking water



Teacher demonstrating quake resistant house using paper model

## KAIZEN

- Considering implementing body to revise and review curricula and materials

## Risk Communication

- Understanding of difference between desk plans and practices in evacuation response

## Redundancy

- Both education and quake resistant building
- Inclusion of DRM into various subjects and club activities

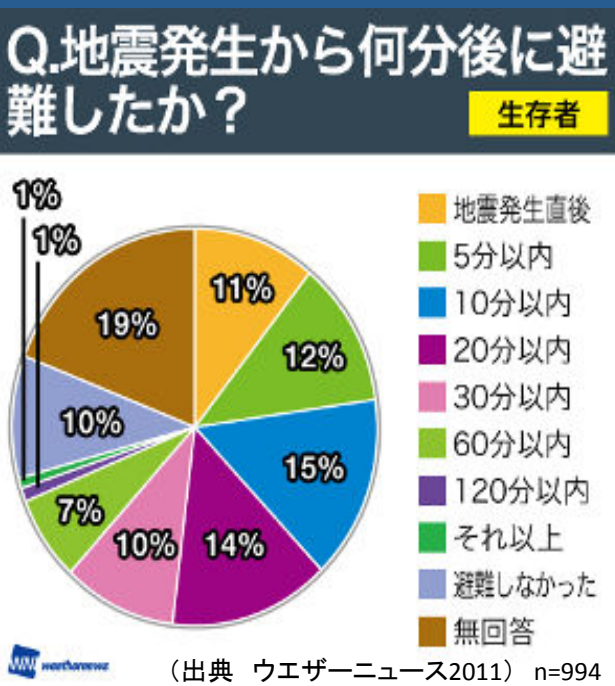
## STRATEGY

National Earthquake Strategy and Action Plan



# 3 types of the Gap found from the Great East Japan Earthquake

## Gap1



## Gap2



## Gap3

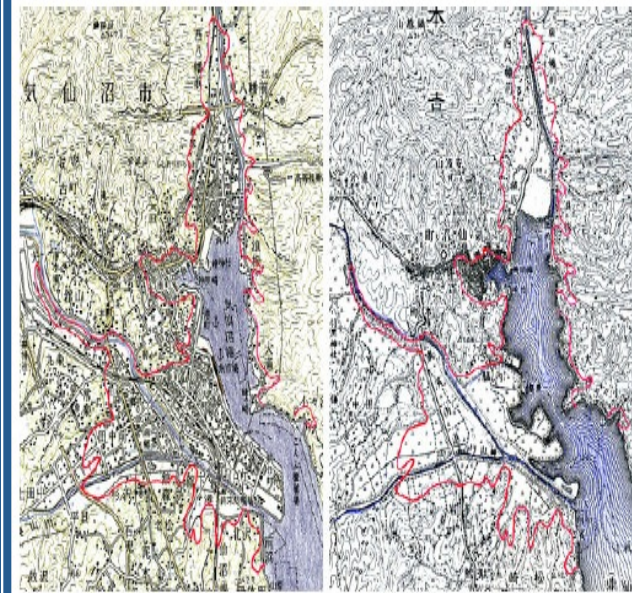


図3 気仙沼中心部の平成12年と明治40年の地形図の比較  
赤線は2011年津波の浸水限界

「計画どおり」に地震発生直後に避難した生存者はわずか11%のみ。

-不確実性の高い自然災害  
(計画で想定していた地震以上の規模の地震)に対する  
建造物の機能不全

-都市部の拡大  
-高齢化社会の進行  
(今後の新たなリスクも示唆)